



At TD Ameritrade, we know you value your independence as an investor. Whether you're an active trader, invest for the long term, or do a little bit of both, your ability to make your own investing decisions and trust your own judgment defines who you are.

Welcome to TD Ameritrade

By choosing TD Ameritrade as your investing partner, you'll be working with a leader in the brokerage industry and a company dedicated to supporting your spirit of independence.

You'll get independent, third-party research. A powerful trading platform. Sophisticated tools that are easy to use and understand. Straightforward pricing. And client service and support systems that are personal, accessible, and flexible.

We invite you to find out more about us. And how the spirit of independence, both yours and ours, can help you along the path to financial independence.

Market volatility, volume, and system availability may delay account access and trade executions.

OPEN AN ACCOUNT TODAY. VISIT tdameritrade.com.

Everything You Need to Invest and Trade with Confidence



At TD Ameritrade, you'll find all the tools and resources you need to plan and invest for today and the future. From easy-to-use planners and screeners to online tutorials and portfolio monitoring tools—you'll have everything you need to help you pursue your investing goals, no matter what they may be.

Straightforward pricing:

- \$9.99 commissions for Internet stock trades.
- \$9.99 for Internet options trades plus \$0.75/contract.
 - Options exercise and assignment commission \$19.99.
- No account maintenance fees. No annual IRA fees.
 - Commissions, service and exception fees still apply.

TD Ameritrade was named #1 for long term investing and for novices by Barron's 2013 Online Broker Review*

Research

We offer one of the largest resources of independent information anywhere online—well-organized, and all in one place so it's quick and easy to access. Use it to help validate your own ideas by checking out how independent research providers like Standard & Poor's® and Morningstar® rate particular stocks and mutual funds. Or use it to come up with new ideas.

At TD Ameritrade, you decide what's in your best interest, and we support you with all the research and information you need.

Investing Tools

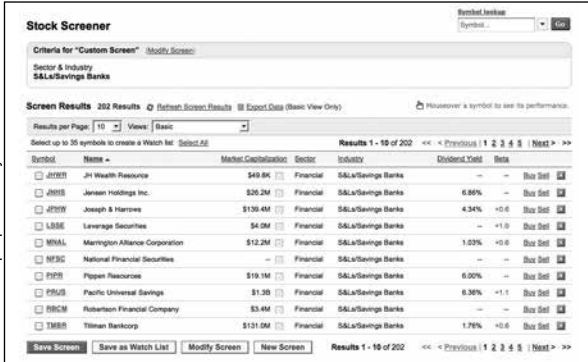
Standard & Poor's tools

Arm yourself with the information you need to map out your own personal investing strategy—whether you're a seasoned investor or just starting out. Our Standard & Poor's tools are simple and powerful, making it even easier for you to learn about investing. Choose from a wide array of articles, calculators, and online seminars covering basic to complex information on everything from rollovers and retirement planning to college savings and tax planning.

Screening tools

You'll find a variety of screening tools that allow you to filter through thousands of mutual funds, Exchange Traded Funds (ETFs), and stocks based upon variables you set. It's a great way to identify potential investment choices that meet your individual needs.

For illustrative purposes only.



The screenshot shows the 'Stock Screener' interface with the following data:

Symbol	Name	Market Capitalization	Sector	Industry	Dividend Yield	Beta
JWR	JW Wealth Resource	\$49.8K	Financial	S&P/S&P Banks	—	Buy Sell
JWR	Jensen Holdings Inc.	\$20.2M	Financial	S&P/S&P Banks	6.86%	Buy Sell
JWR	Joseph & Horowitz	\$130.4M	Financial	S&P/S&P Banks	4.34%	Buy Sell
USSE	Leverage Securities	\$4.0M	Financial	S&P/S&P Banks	—	Buy Sell
WNA	Marriott International Corporation	\$12.2M	Financial	S&P/S&P Banks	1.03%	Buy Sell
NFSC	National Financial Securities	—	Financial	S&P/S&P Banks	—	Buy Sell
PFS	Pippen Resources	\$19.1M	Financial	S&P/S&P Banks	0.00%	Buy Sell
PFS	Pacific Universal Savings	\$1.3B	Financial	S&P/S&P Banks	0.36%	Buy Sell
RBC	Robertson Financial Company	\$3.4M	Financial	S&P/S&P Banks	—	Buy Sell
TBR	Titan Bankcorp	\$131.0M	Financial	S&P/S&P Banks	1.79%	Buy Sell

Stock Screener

Tools like our stock screeners help you find potential investment ideas that may meet your individual investment strategy.

To learn more
about all the
investing tools
we offer, visit
tdameritrade.com.

*TD Ameritrade was evaluated against 23 others in the 2013 Barron's Online-Broker review, March 9, 2013, and was ranked 1st in the categories Best for Long-term Investing and Best for Novices. Barron's is a trademark of Dow Jones & Co., L.P. All rights reserved.

Third-party research and tools are obtained from sources deemed reliable, but TD Ameritrade does not guarantee their accuracy or completeness and makes no warranties with respect to results to be obtained from their use. TD Ameritrade does not provide tax advice. Please consult with a tax-planning professional with regard to your specific circumstances.

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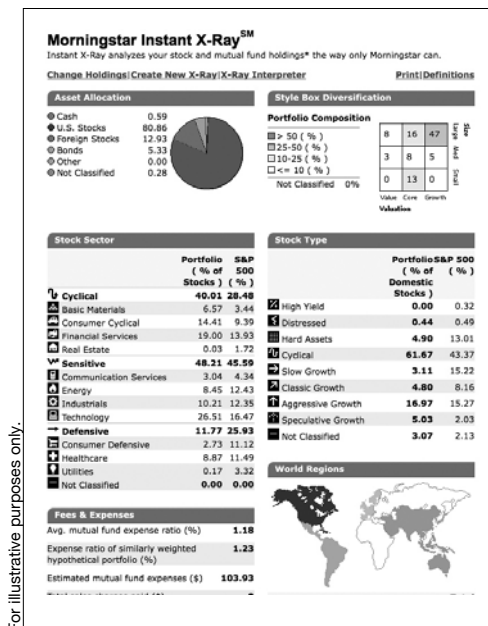
Mutual Funds

TD Ameritrade offers one of the widest selections of mutual funds, including major fund families such as Vanguard, Franklin, Janus, and Putnam. This diverse offering covers an array of investment objectives, goals, and strategies. We also offer powerful tools from Morningstar® to help you find the funds that fit your individual investment needs.*

Investors should consider the investment objectives, risks, charges, and expenses carefully before investing in a mutual fund or ETF. This and other information regarding the security is included in the prospectus, which may be obtained by calling 877-TDAMERITRADE. Please read it carefully prior to investing. Investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost.

Bonds

TD Ameritrade offers a variety of Treasury, corporate, government and municipal bonds, and collateralized mortgage obligations (CMOs) to fit your fixed-income strategy. A licensed TD Ameritrade broker can assist you with placing a bond order.**

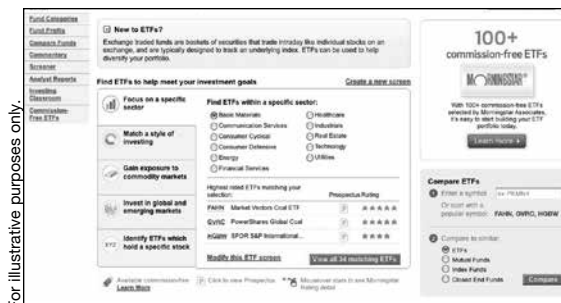


Morningstar® Instant X-Ray™

Did you know that there can be overlap among your mutual funds? Morningstar® Instant X-Ray™ can show you where your investments overlap and tell you whether or not your portfolio is truly diversified.

Exchange Traded Funds

Exchange Traded Funds*** (ETFs) are becoming an increasingly popular investment choice because they combine the diversification of a mutual fund with the trading flexibility of a stock. If you're interested in adding ETFs to your portfolio, our ETF research pages can help you determine if they're the right investment for you. And you can use the ETF Screener to help find and trade them.



ETF Screener

*Third-party research and tools are obtained from Morningstar, Inc., a third party not affiliated with TD Ameritrade. While the information is deemed reliable, TD Ameritrade does not guarantee their accuracy or completeness and makes no warranties with respect to results to be obtained from their use.

**Investments in fixed-income products are subject to liquidity (or market) risk, interest rate risk (bonds ordinarily decline in price when interest rates rise and rise in price when interest rates fall), financial (or credit) risk, inflation (or purchasing power) risk, and special tax liabilities.

***ETFs are baskets of securities that trade on an exchange like a stock. ETFs can entail risks similar to direct stock ownership, including market, sector, or industry risks. Some ETFs may involve international risk, currency risk, commodity risk, and interest rate risk. Trading prices may not reflect the net asset value of the underlying securities. Commission fees typically apply.

Astonishingly advanced. Surprisingly simple.

Trade Architect

Trade Architect gives you all the trading functionality you want in one streamlined platform. Since it's Web-based, no download is required, allowing you to access the platform from anywhere. Customize your desktop with tools that help you do more of what you do most, including:

Visual market monitoring – Use heat mapping, QuoteScope™, and profit/loss graphs to get a clear idea of market movement at a glance. It's a powerful way to quickly generate new trading ideas or validate ideas of your own.

In-depth analysis – Put some solid science behind your trading hunch with probability analysis, earnings analysis, and charts.

Real-time data – Catch every beat of the market using the latest information, including free* Level II Quotes and Streaming News. So you can be up to date before making a decision.

Help and education – Whether you have a question about the platform or need more information about a specific market sector, you can access the educational content you're looking for without leaving Trade Architect.

Customizable dashboard, so you can focus on what's important to your strategy.

Platform help and educational content without leaving the window.



A heat-mapping tool to give you an at-a-glance view of how the market's moving.

Ability to trade stocks, options (including multi-leg strategies), and ETFs.

► Find out more about Trade Architect now at tdameritrade.com/trade.

Past performance of a security does not guarantee future results.

Market volatility, volume, and system availability may delay account access and trade executions.

*Use of Trade Architect requires access to real-time market data, NASDAQ Level II data and Streaming News. Waiver of the NASDAQ Level II and Streaming News subscription fees may apply. For details, see our Rates and Fees listing.

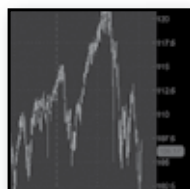
Probability analysis results are theoretical in nature, not guaranteed, and do not reflect any degree of certainty of an event occurring.

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Our most advanced platform, no strings attached.



Free live-streaming market news will help you make sure your strategy is in step with the latest developments.



More technical indicators than you can dream of—moving averages, oscillators, Fibonacci, comparison charts, trendlines, and more.



Commercial-free, live-streaming CNBC.



Order tickets can be filled automatically with a single click.

thinkorswim from TD Ameritrade

Want a powerful trading experience? Get thinkorswim from TD Ameritrade. This feature-rich software is chock-full of innovative tools, real-time information, and comprehensive portfolio and trade analysis in one fully integrated platform.

- Place complex option trades online, including butterflies, condors, and other multi-leg strategies.¹
- Ability to trade futures and forex nonstop from market open on Sunday to market close on Friday.²
- Analyze up to 32 charts on a single page with hundreds of technical studies and drawing tools.
- Practice trading strategies with paperMoney®—without risking a penny.
- Stay on top of the markets with live-streaming, commercial-free CNBC.
- Catch a market replay and conduct hypothetical trades using historical data with thinkOnDemand.
- Enjoy updates to your experience multiple times a year—we never stop innovating.

1. Butterflies, condors, and other multi-leg option strategies can entail substantial transaction costs, including multiple commissions, which may impact any potential return. Options trading is not suitable for all investors.

2. The risk of loss in trading futures and forex can be substantial. Clients must consider all relevant risk factors, including their own personal financial situation, before trading. Futures and forex trading privileges are subject to TD Ameritrade review and approval. Futures and forex trading is not suitable for all investors.

Investment Choices

General Investments

- Stocks
- Options
- Mutual funds
- Exchange Traded Funds (ETFs)

Fixed-Income Products

- U.S. Treasury and Agency issues
- Municipal bonds
- Corporate bonds
- Convertible bonds
- Zero-coupon bonds
- Mortgage-backed securities (GNMAs)
- Certificates of Deposit (CDs)
- Unit Investment Trusts (UITs)

Other Investment Choices

- Cash/money-market vehicles

Account Types

General Investing Accounts

- Individual
- Joint
- Joint tenants by the entirety
- Joint tenants with right of survivorship
- Community property
- Corporate
- Estate
- Tenants in common
- Investment club
- Partnership
- Limited Liability Corporation
- Limited Liability Partnership
- Separate property

Individual Retirement Accounts

- Traditional IRA
- Roth IRA
- Rollover IRA
- IRA for a minor

College Savings Accounts

- Coverdell Education Savings Account (ESA)
- 529 College Savings Plan
- Custodial accounts (UGMA, UTMA)

Small Business Accounts

- Individual 401(k)
- SEP IRA
- SIMPLE IRA
- Profit-Sharing Plan
- Money Purchase Pension Plan

Trust Accounts

- Irrevocable trust
- Living trust
- Pension trust
- Personal trust
- Profit trust
- Retirement trust
- Revocable trust

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Free Account Protection

The Asset Protection Guarantee

If you lose cash or securities from your account due to unauthorized activity, we'll reimburse you for the cash or shares of securities you lost. We're promising you this protection, which adds to the provisions that already govern your account, in case unauthorized activity ever occurs and we determine it was through no fault of your own. Of course, unauthorized activity does not include actions or transactions undertaken by or at the request of you, your investment advisors or family members, or anyone else whom you have allowed access to your account or to your account information for any purpose, such as trading securities, writing checks or making withdrawals or transfers.

We promise this protection if you work with us in four ways:

1. Keep your personal identifying information and account information secure and confidential—because sharing your UserID, password, PIN, account number, or other standard means of authentication with other people means you authorize them to take action in your account.
2. Keep your contact information up to date with us, so that we can contact you in case of suspected fraud;
3. Review your account frequently and your statements promptly and report any suspicious or unauthorized activity to us immediately in accordance with your Client Agreement.
4. Take the actions we request if your account is ever compromised and cooperate with our investigation.

If you help us protect you in these basic ways, we'll promise no fine print and no footnotes...just our commitment to protect the assets you entrust to us.



SIPC protection

TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at sipc.org.

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London insurers. In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC, and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

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