

**Substitute
W-8BEN**Department of the Treasury
Internal Revenue Service**Certificate of Foreign Status of Beneficial Owner
for United States Tax Withholding**

- Section references are to the Internal Revenue Code. ► See separate instructions.
► Give this form to the withholding agent or payer. Do not send to the IRS.

tdameritrade.com

TD Ameritrade
200 S 108th Ave
Omaha, NE 68154-2631

For complete instructions or more information on the W-8 forms, please refer to the IRS website at www.irs.gov. If you have any other questions regarding your application or account, please contact TD Ameritrade.

Part I Identification of Beneficial Owner (See instructions.)

1 Name of individual or organization that is the beneficial owner		2 Country of incorporation or organization															
3 Type of beneficial owner: <table border="0"><tr><td><input type="checkbox"/> Individual</td><td><input type="checkbox"/> Corporation</td><td><input type="checkbox"/> Disregarded entity</td><td><input type="checkbox"/> Partnership</td><td><input type="checkbox"/> Simple trust</td></tr><tr><td><input type="checkbox"/> Grantor trust</td><td><input type="checkbox"/> Complex trust</td><td><input type="checkbox"/> Estate</td><td><input type="checkbox"/> Government</td><td><input type="checkbox"/> International organization</td></tr><tr><td><input type="checkbox"/> Central bank of issue</td><td><input type="checkbox"/> Tax-exempt organization</td><td><input type="checkbox"/> Private foundation</td><td colspan="2"></td></tr></table>			<input type="checkbox"/> Individual	<input type="checkbox"/> Corporation	<input type="checkbox"/> Disregarded entity	<input type="checkbox"/> Partnership	<input type="checkbox"/> Simple trust	<input type="checkbox"/> Grantor trust	<input type="checkbox"/> Complex trust	<input type="checkbox"/> Estate	<input type="checkbox"/> Government	<input type="checkbox"/> International organization	<input type="checkbox"/> Central bank of issue	<input type="checkbox"/> Tax-exempt organization	<input type="checkbox"/> Private foundation		
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4 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address.																	
City or town, state or province. Include postal code where appropriate.		Country (do not abbreviate)															
5 Mailing address (if different from above)																	
City or town, state or province. Include postal code where appropriate.		Country (do not abbreviate)															
6 U.S. taxpayer identification number, if required (see instructions) <input type="checkbox"/> SSN <input type="checkbox"/> ITIN <input type="checkbox"/> EIN		7 Foreign tax identifying number, if any (optional)															
8 TD Ameritrade Account Number																	

Part II Claim of Tax Treaty Benefits (if applicable)**9 I certify that (check all that apply):**

- a** ☐ The beneficial owner is a resident of within the meaning of the income tax treaty between the United States and that country.
- b** ☐ If required, the U.S. taxpayer identification number is stated on line 6 (see instructions).
- c** ☐ The beneficial owner is not an individual, derives the item (or items) of income for which the treaty benefits are claimed, and, if applicable, meets the requirements of the treaty provision dealing with limitation on benefits (see instructions).
- d** ☐ The beneficial owner is not an individual, is claiming treaty benefits for dividends received from a foreign corporation or interest from a U.S. trade or business of a foreign corporation, and meets qualified resident status (see instructions).
- e** ☐ The beneficial owner is related to the person obligated to pay the income within the meaning of section 267(b) or 707(b), and will file Form 8833 if the amount subject to withholding received during a calendar year exceeds, in the aggregate, \$500,000.

Part III Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- 1** I am the beneficial owner (or am authorized to sign for the beneficial owner) of all the income to which this form relates,
- 2** The beneficial owner is not a U.S. person,
- 3** The income to which this form relates is (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income, **and**
- 4** For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.
- Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner.

The Internal Revenue Service does not require your consent to any provisions of this document other than the certifications required to establish your status as a non-U.S. person and, if applicable, obtain a reduced rate of withholding.

Sign Here

.....
Signature of beneficial owner (or individual authorized to sign for beneficial owner) Date (MM-DD-YYYY) Capacity in which acting

Additional Information Requested (if applicable)

- ☐ **US address on account:** TD Ameritrade form W-8BEN Attachment A (https://www.tdameritrade.com/retail-en_us/resources/pdf/AMTD835.pdf) to explain the US address being used and a current copy of passport or US entry Visa (from each account holder if applicable)
- ☐ **Entity accounts:** Foreign Entity Account Addendum (https://www.tdameritrade.com/retail-en_us/resources/pdf/AMTD884.pdf) and a current copy of passport or U.S. entry Visa from each authorized agent on the account

Regular Mail Service: TD Ameritrade
PO BOX 2654
Omaha, NE 68172-9611
United States of America

Please mail all requested documentation:

Express or Overnight Delivery Service: TD Ameritrade
200 S 108th Ave
Omaha, NE 68154-2631
United States of America

Investment Products: Not FDIC Insured * No Bank Guarantee * May Lose Value

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